

# Mohammed Alshayea

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## EDUCATION

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### SUNY Geneseo

**Degree:** *Bachelor of Science, Business Administration*

- **Honors/Certification:** Spanish National Honor Society, Student Managed Investment Fund, Bloomberg Markets
- **Languages:** English (fluent), Arabic (fluent), Spanish (conversational fluency)

## WORK EXPERIENCE

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### MUFG NYC

**New York, NY**

Regulatory Analyst

*Mar 2025- Present*

- Lead the preparation and high level review of daily 15c3-1 net capital computations, maintaining ~\$1B in capital and ensuring 100% accuracy in the reporting of haircuts and charges.
- Utilize Axiom software to execute 15c3-3 customer reserve calculations and manage regulatory haircuts, ensuring the firm remains compliant with SEC segregation requirements.
- Own the daily and monthly maintenance of the firm's Underwriting Deals Charge process, ensuring full accuracy of SPG and CMG desk issuances, supporting ~\$200M in average haircut exposure.
- Collaborate with IT and Axiom Support to ensure and enhance accuracy and automation of 15c3-1 and 15c3-3 filings, researching and resolving any system breaks or charge discrepancies.
- Manage month-end and quarterly regulatory filings, reconciling trade-level details to the general ledger to ensure the adequacy of accounting records and static data.

### Commerzbank AG

**New York, NY**

*Junior Broker Dealer Reporting Specialist*

*Nov 2023 – Mar 2025*

- Conducted 15c3-1 daily calculation, ensuring full compliance with FINRA rules and full accuracy.
- Implemented and maintained robust controls to ensure accurate and timely reporting of bond transactions and haircut charge calculations.
- Supported 15c-3 customer reserve calculations, ensuring correct segregation of funds and compliance with SEC rules.
- Prepared and submitted Federal Reserve filings, BEA filings, Department of Commerce disclosures, and German Head Office reports monthly, ensuring timely and accurate submissions.
- Contributed to EOM close, reconciling ledger activity and journal entries, ensuring accuracy.

### InterFaith Works of CNY

**Syracuse, NY**

*Accountant*

*Jul 2022 – Nov 2023*

- Oversaw compliance and federal reporting process for agency grants, ~\$15M in total budgets, ensuring adherence to strict controls and requirements.
- Supported federal and internal audits by providing accurate documentation, facilitating data access, and ensuring adherence to audit standards throughout the year.
- Contributed to streamlining the agency's EOM closing process by 25% by improving procedures and planning.
- Developed and managed relationships with parent agency in NYC through effective communication, ensuring 100% accurate ledger reconciliation between the two agencies.

## SKILLS & INTERESTS

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**Skills:** Regulatory Reporting • Analytical Thinking • Process Automation • Cross Functional Communication • Oracle Applications • Bloomberg • Federal/Internal Audits • Financial Analysis

**Interests:** Soccer, Fashion, Exercise, World Travel, Personal Investing, Languages